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# Dissecting perception and memory-driven imagery by boosting GABA-ergic neurotransmission

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#### ABSTRACT

Flanking lateral masks enhance or weaken the detection of a low-contrast visual target. This effect depends on the target-to-mask distance. An improvement of stimulus detection can also be observed when participants imagine (i.e., retrieve from memory) the previously presented masks. In this double-blind, placebo-controlled study, we show that the gamma-aminobutyric acid-A (GABAA) receptor agonist alprazolam disrupts perceptual but not imagery enhancement of contrast detection in individuals with generalized anxiety and adjustment disorder. The weakened target detection at short targetto-mask distances became more pronounced after the administration of the GABA-agonist in both perception and imagery conditions. Healthy control participants did not differ from individuals with generalized anxiety and adjustment disorder receiving placebo. These results indicate that perception and imagery can be dissociated by boosting GABA-ergic neurotransmission. Further studies are warranted to investigate this effect in healthy individuals.

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#### 44 1. Introduction

45 The notion of "seeing in the mind's eye" or visual imagery, 46 which refers to an active and intentional reconstruction and visu-47 alization of images in our inner world, has been the subject of 48 intense debate since the work of Aristotle and Plato on Phantasia, and, in close conjunction with the emergence of memory traces, 49 imagery may have a cardinal role in the birth of western culture 50 (Thomas, 2014). A fundamental open question is how perception, 51 imagery, and memory interact during human cognition. 52

53 Recently, it has been emphasized that early visual areas play a 54 multifaceted role in the representation of information, including 55 stimulus-driven perception, top-down generation of images that 56 have not been perceived before (mental imagery), and the mainte-57 nance or retrieval of previously seen pictures (visual working 58 memory, internally directed attention, and retrieval of long-term memory traces) (Gazzaley & Nobre, 2012; Harrison & Tong, 2009; 59 60 Kay et al., 2008; Klein et al., 2000; Kosslyn & Thompson, 2003; 61 Kosslyn, Thompson, & Ganis, 2006; Mesulam, 2008; Pasternak & 62 Greenlee, 2005; Pylyshyn, 2002; for a review of early findings on 63 brain activation and mental imagery, see Roland & Gulyás, 1994).

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Using multivariate analysis to decode the information from neuronal activity, as revealed by functional magnetic resonance imaging, Albers et al. (2013) showed that stimulus identity could be reconstructed from neuronal activity patterns in early visual areas when the participant imagined the stimulus or maintained that in working memory. The neuronal activity patterns accompanying imagery and working memory were very similar to that measured during stimulus-driven visual perception, suggesting that early visual areas serve as a general "dashboard" for bottom-up and top-down processes (Albers et al., 2013; for a review of new behavioral and imaging methods in mental imagery research, see Pearson, 2014).

It has long been recognized that visual perception is specifically 75 modulated by gamma-aminobutyric-acid (GABA), a major inhibitory neurotransmitter in the visual cortex (Iversen, Mitchell, & Srinivasan, 1971; Pettigrew & Daniels, 1973). Studies using pharmacological interventions in humans showed that the GABA<sub>A</sub> receptor agonist lorazepam disrupted early-stage "filling-in", 80 which is critical for the integration of local contours (Beckers 81 et al., 2001; Giersch, 1999; Giersch et al., 1995). Consistent with 82 these findings, studies applying magnetic resonance spectroscopic 83 measurements in early visual areas confirmed the role of GABA in 84 perceptual integration of target and surround (orientation-specific 85 surround suppression) (Yoon et al., 2010). Furthermore, GABA agonists have been shown to reduce visual awareness (van Loon et al., 2012) and to change contents of consciousness during bistable per-

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ception (van Loon et al., 2013). In the light of these results, it is plausible to hypothesize that GABA agonists affect not only perceptual integration, but they also have an impact on mental imagery.

Ishai and Sagi (1995, 1997) designed an elegant paradigm to show that early-stage visual perception and imagery have common mechanisms. Specifically, they asked observers to detect a lowcontrast visual target (Gabor patch) flanked by two lateral masks (Fig. 1). This is a basic scenario during which local visual detectors interact to obtain a primitive "shape" (Kovács & Julesz, 1994). As expected (Polat & Sagi, 1993), collinear flankers placed in a particular distance from the target enhanced target detection. Strikingly, a similar decrease in target detection threshold was observed when participants imagined the previously presented masks (Ishai & Sagi, 1995, 1997). This suggests that physically presented and imagined flankers are both able to influence the detection of target stimuli.

105 In the present study, we investigated how perceptual and imag-106 ery processes are modulated by GABA<sub>A</sub> receptor agonist benzodi-107 azepines. This pharmacological manipulation has been shown to alter perceptual organization in humans (Beckers et al., 2001; 108 109 Giersch, 1999; Giersch et al., 1995). Given that early perception 110 and imagery are thought to have shared mechanisms, we hypothesized that GABA agonists would disrupt both perception and 111 112 imagery in the lateral masking task of Ishai and Sagi (1995, 1997).

We assessed individuals with mild psychological difficulties with the assumption that they did not differ from healthy participants at baseline contrast detection and lateral masking. This assumption was tested by the inclusion of a healthy control group. The assessment of patients instead of healthy volunteers was an unavoidable methodological limitation, because we had no allowance to administer GABA agonists to healthy people.

### 120 2. Methods

#### 121 2.1. Participants

122 We recruited the participants at the National Institute of Psy-123 chiatry and Addiction, Budapest and Szeged, Hungary. The healthy 124 control group comprised individuals from the hospital staff. Alto-125 gether, we had three experimental groups: (1) individuals with generalized anxiety disorder (GAD) (n = 20), (2) adjustment disor-126 127 der (AD) (n = 20), and (3) healthy control volunteers (n = 15)128 (Table 1). AD (stress-response syndrome) is diagnosed when an 129 individual is not able to cope with or adapt to stressful life events. 130 but the diagnostic criteria of major psychiatric disorders are not 131 fulfilled (e.g., mild, clinically sub-threshold depression or anxiety).

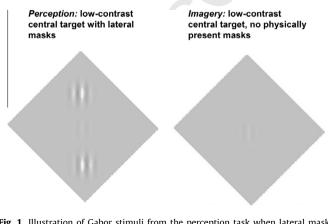


Fig. 1. Illustration of Gabor stimuli from the perception task when lateral masks were present and imagery task when the masks were physically absent.

#### Table 1

Demographic characteristics of the participants.

	HC ( <i>n</i> = 15)	GAD ( <i>n</i> = 20)	AD ( <i>n</i> = 20)
Age (years) Gender (male/female) Education (years) HAM-D HAM-A	32.6 (8.0) 7/8 13.6 (3.7) -	34.7 (6.0) 9/11 12.7 (4.7) 12.4 (5.7) 5.9 (3.8)	31.5 (7.3) 9/11 12.3 (4.3) 8.7 (4.8) 6.9 (4.2)

Data are mean (standard deviation) with the exception of gender. HC – healthy control, GAD – generalized anxiety disorder, AD – adjustment disorder, HAM-D – Hamilton Rating Scale for Depression (0–7: no depression, 8–15: mild>15: severe), HAM-A – Hamilton Rating Scale for Anxiety (0–5: no anxiety, 6–15: mild>15 severe). The three experimental groups did not differ in age, gender ratio, and education (two-tailed t tests and chi-square tests, p > 0.2).

AD is characterized by depressed mood, anxious symptoms, or disturbances in conduct (American Psychiatric Association, 2013). 133

The patients did not receive any pharmacological treatment 134 before the experiment. The severity of anxiety and depression 135 was evaluated with standard clinical scales (Hamilton, 1959, 136 1960), and the diagnosis was established by trained and supervised 137 clinical psychiatrists according to standard criteria (American 138 Psychiatric Association, 2013). We included patients because we 139 were not allowed to administer benzodiazepines to healthy people. 140 Two separate groups with different disorders (GAD and AD) were 141 tested to explore whether the results are replicable across different 142 disorders. The characteristics of the participants are summarized in 143 Table 1. The study was conducted in accordance with the Declara-144 tion of Helsinki and was approved by the institutional ethics board. 145 All participants gave written informed consent. 146

#### 2.2. Randomization and pharmacological intervention

Experiments were conducted between 9 and 11 a.m. GAD and 148 AD patients were randomized using the RANUNI module of SAS 149 (Statistical Analysis System) (SAS Institute Inc., Cary, NC). Half of 150 them received placebo (lactose), and half of them received the 151 GABA<sub>A</sub> receptor agonist alprazolam according to standard clinical 152 protocols (Rickels & Rynn, 2002). The oral dose of alprazolam 153 was 0.02 mg/kg. We chose this dose because it is equivalent to that 154 of lorazepam, which is the most frequently applied GABA agonist 155 in visual experiments (Beckers et al., 2001; Schatzberg, Cole, & 156 Debattista, 2010). However, lorazepam was not available in Hun-157 gary at the time of the experiment, and alprazolam exhibits several 158 advantages in clinical practice regarding its side-effect profile 159 (Schatzberg, Cole, & Debattista, 2010). We performed the experi-160 ment at the estimated peak plasma concentration of alprazolam 161 (1.5 h following administration) (Schatzberg, Cole, & Debattista, 162 2010). Healthy control individuals were tested at baseline without 163 placebo or alprazolam administration. 164

### 2.3. Stimuli and procedure

We previously modified the procedure of Polat and Sagi (1993) 166 to fit for patient populations (Kéri et al., 2005a, 2005b; Must et al., 167 2004). The present experiment is an extended version of the per-168 ceptual task including an imagery component (Ishai & Sagi, 1995, 169 1997). In the perception condition, the contrast threshold was 170 measured for a foveal target Gabor patch flanked by two lateral 171 Gabor masks (Fig. 1). An imagery task followed the perception task 172 during which the previously seen masks were not physically pres-173 ent, and participants were asked to imagine them. 174

Stimuli were presented on an NEC MultiSync PA301W monitor175(NEC, Itasca, IL), controlled by a Dell XPS workstation. The display176area subtended 10° by 10° from a viewing distance of 150 cm. The177

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178 mean display luminance was  $50 \text{ cd/m}^2$ . The Michelson-contrast of 179 the masks was 40% with a Gaussian envelope size of  $0.15^{\circ}$ .

180 The trial was initiated by the participant who pressed a key. 181 There were four subsequent phases during a trial: a no-stimulus (0.5 s), a first stimulus (90 ms), a second no-stimulus interval 182 (1 s), and a second stimulus (90 ms). A session included nine alter-183 184 nating blocks (50 trials/blocks) of perception followed by imagery. The target-to-mask distance was 0, 3, or  $6\lambda$ . Each block included 185 one target-to-mask distance. The order of blocks was randomized. 186 Each block of perception was followed by the corresponding imag-187 ery block during which participants were asked to imagine what 188 they had just seen. The participant was asked to indicate which 189 of the stimulus periods contained the target by pressing two differ-190 ent keys. The contrast threshold of the target was measured by a 191 192 staircase method as described previously (Polat & Sagi, 1993; 193 O4 Kéri et al., 2005a, 2005b). Threshold changes in perception and 194 imagery conditions were calculated relatively to the baseline when 195 an isolated target was presented with two peripheral crosses.

The delay between perception and imagery tasks was either 0 min (immediate presentation of the imagery task after the perception task) or 5 min. We included an immediate and a delayed condition in order to test how sensory traces established during the perception task were stored in memory and how they could be retrieved during the imagery condition.

202 The current paradigm differed from the original task of Ishai 203 and Sagi (1995, 1997). In order to shorten the procedure, we used only three critically relevant target-to-mask distances ( $0\lambda$ : peak 204 inhibitory effects of the masks on the target;  $3\lambda$ : peak excitatory 205 effects of the masks on the target;  $6\lambda$ : negligible effects of the 206 207 masks on the target). Second, we eliminated the control condition 208 during which an isolated target patch was presented after the perception task but participants were not requested to imagine the 209 masks. Note, however that this control condition is not the same 210 as the separate baseline condition when the target is presented 211 212 in the absence of Gabor flankers (only two peripheral high-contrast 213 crosses are presented). Threshold changes are compared to the lat-214 ter baseline condition (Ishai & Sagi, 1995, 1997). This simplification 215 was necessary because many participants were not able to stay on 216 the original task (i.e., the procedure was too long).

#### 217 2.4. Statistical analysis

218 The STATISTICA 11 (StatSoft, Inc., Tulsa), Prism 6 (GrpahPad, Inc., La Jolla), and SAS (Statistical Analysis System) (SAS Institute 219 Inc., Cary, NC) software packages were used for data analysis. Con-220 221 trast threshold data were log-transformed. Analyses of variance (ANOVAs) were performed to compare experimental groups 222 (within-subjects factors: target-mask distance and delay between 223 perception and imagery tasks). Tukey Honestly Significant Differ-224 ence (HSD) tests were applied for post hoc analysis. The level of 225 226 statistical significance was set at  $\alpha < 0.05$ .

#### 227 3. Results

### 228 3.1. Perception

An ANOVA was conducted on the log-contrast threshold eleva-229 tion data. We first compared individuals receiving placebo and the 230 231 GABA-agonist alprazolam. The within-subjects factors were delay 232 (0 and 5-min delay period between perception and imagery) and target-to-mask distance (0, 3, and  $6\lambda$ ). The ANOVA revealed a sig-233 nificant main effect of placebo vs. alprazolam group (F(1,38) =234 235 58.32, p < 0.001,  $\eta^2 = 0.61$ ) and a significant interaction between 236 group and target-to-mask distance (F(2,76) = 17.59, p < 0.001, 237  $\eta^2 = 0.32$ ). This two-way interaction was further explored with Tukey HSD tests. As shown in Fig. 2, there was a significant threshold elevation at  $0\lambda$  in the alprazolam group compared with the placebo group (p < 0.01). It is also evident from Fig. 2 that at  $3\lambda$  the enhancing effect of masks was not observed in the alprazolam group, whereas it was detectable in the placebo group. The difference between individuals receiving placebo and alprazolam was significant at  $3\lambda$  (p < 0.001). At  $6\lambda$ , threshold elevation was similar in both groups (p > 0.5). Finally, the results were highly similar at both delay intervals (ANOVA main effect of delay, p > 0.5) (Fig. 2).

To test the possibility that individuals with GAD and AD, who were randomized to placebo and alprazolam, differed from healthy individuals, we performed a separate ANOVA including the healthy control and placebo groups. This ANOVA revealed no significant differences between the two groups and no significant interactions (all *p*-values from the ANOVA > 0.2) (Fig. 2).

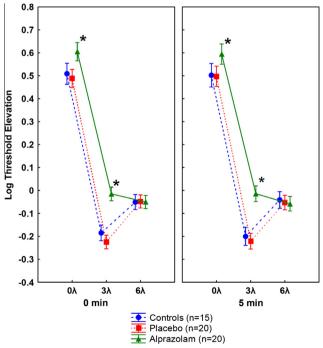
#### 3.2. Imagery

We conducted an ANOVA for the imagery condition with the same design as used in the perception condition. There was a significant main effect of placebo vs. alprazolam group (F(1,38) = 14.83, p < 0.001,  $\eta^2 = 0.28$ ). The interaction between group and target-to-mask distance was also significant (F(2,76) = 13.10, p < 0.001,  $\eta^2 = 0.25$ ). As shown in Fig. 3, the main effect and the two-way interaction were due to the significant threshold elevation at  $0\lambda$  (p < 0.001), which was consistently observable at both delay periods. In both placebo and alprazolam groups, however, there was a significantly decreased threshold at  $3\lambda$  relative to  $0\lambda$  and  $6\lambda$  (p < 0.05), which indicates a reliable facilitation effect.

As in the case of perception, there was no significant difference between healthy individuals and participants receiving placebo (ANOVA main effect of group, p > 0.2) (Fig. 3).

#### 3.3. Contrast threshold for isolated Gabor patches

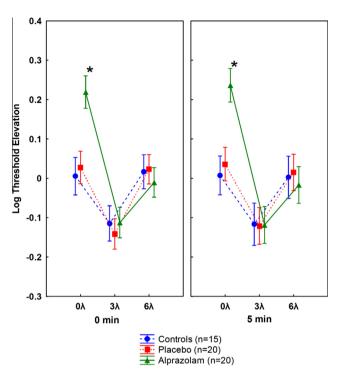
When contrast threshold was measured in the absence of lateral masks, participants belonging to different experimental groups



**Fig. 2.** Results from the perception task. Mean log-threshold elevation in healthy controls, individuals receiving placebo and alprazolam. Error bars indicate 95% confidence intervals. \*p < 0.01 (Tukey HSD post hoc tests).

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**Fig. 3.** Results from the imagery task. Mean log-threshold elevation in healthy controls (HCs), individuals receiving placebo and alprazolam. Error bars indicate 95% confidence intervals. \*p < 0.01 (Tukey HSD post hoc tests).

performed similarly (healthy controls: 7.5%, SD = 2.7; placebo: 7.2%, SD = 3.3; alprazolam: 7.9, SD = 3.5) (p > 2, all pairwise comparisons).

#### 274 3.4. Effect of baseline anxiety and depression

We found no significant correlations between threshold elevation and anxiety/depression (-0.1 < r < 0.1, p > 0.5). There was no significant difference between individuals with GAD and AD (p > 0.5).

#### 279 4. Discussion

The results of the present study did not confirm the main 280 281 hypothesis: the GABA agonist alprazolam disrupted peak facilita-282 tion at  $3\lambda$  in the perception but not in the imagery task. Inhibition 283 at  $0\lambda$  target-to-mask distance was enhanced by the GABA agonist 284 in both perception and imagery tasks. From a broader perspective, 285 these results indicate that perception and memory-driven imagery 286 can be dissociated at the behavioral level by pharmacological 287 manipulation. Although the neuronal underpinnings of imagery and perception are thought to be similar, including the activation 288 289 of early visual areas under many experimental conditions 290 (Kosslyn & Thompson, 2003; see also Roland & Gulyás, 1994 and 291 Dulin et al., 2008), Hume's proposition (1740, 1978) that percepts 292 (impressions) and images (ideas) are substantially similar has not 293 been empirically confirmed (Thomas, 2014). The currently 294 accepted general framework of top-down modulation of sensory 295 cortical areas, i.e., during working memory and imagery, claims 296 that the prefrontal and parietal control regions re-instantiate neu-297 ral activity in sensory cortex that was originally elicited when the 298 item was processed during stimulus-driven perception (Gazzaley & 299 Nobre, 2012; Kosslyn, Thompson, & Ganis, 2006; Mesulam, 2008; 300 Pasternak & Greenlee, 2005). However, recent research provided 301 evidence for some neuronal differences between perception and

imagery. Specifically, Johnson and Johnson (2014) found that the fusiform face area (FFA) contained item-specific information during the perception of natural scenes, which was not evident during the imagery (retrieval and maintenance) of the same scenes.

The finding that the pharmacological facilitation of GABA-ergic neurotransmission dissociated perception and imagery is consistent with the results of Giersch and Vidailhet (2006) who demonstrated intact perceptual priming (completion of fragmented pictures of everyday objects) and impaired visual contour processing in long-term lorazepam users. In some respects, the completion of fragmented pictures may require mental imagery of intact objects.

The task of Ishai and Sagi (1995, 1997) is not a strictly defined 314 imagery task, because it does not include the generation of images 315 not been seen before. Instead, this task is based on the memory 316 trace and retrieval of lateral masks exposed during the perception 317 task (a memory-driven imagery task). Ishai and Sagi (1995) 318 showed that reducing the number of trials in the perception blocks 319 diminished the facilitation effect in the subsequent imagery task. 320 This suggests that a minimum number of stimulus repetitions 321 are indispensable to establish a memory trace. In addition, this 322 memory trace is maintained at least for 5 min available for retrie-323 val in a subsequent imagery task to produce a facilitation effect 324 (Ishai & Sagi, 1995). GABA agonists seem to disrupt the perceptual 325 facilitation effects of masks during bottom-up processing, but they 326 do not impair the creation, storage, and retrieval of memory traces 327 of masks. In addition, when the masks are retrieved during the 328 imagery task, they produce a facilitation effect on target detection, 329 which suggests that this top-down process is dissociable from 330 bottom-up perception and is not influenced by GABA. These 331 effects are not a trivial consequence of sedation because sedation 332 induced by alprazolam is regularly associated with impaired 333 top-down memory retrieval (Verster & Volkerts, 2004). Imagery 334 during the effect of GABA-agonists may be similar to fully 335 reconstructed conscious images while dreaming (Nir & Tononi, 336 2010), but in the latter case, the retrieval of internal 337 representations are not voluntary and intentional in contrast to 338 memory-guided imagery. 339

Our behavioral data might provide a primer for electrophysiological, functional neuroimaging, and animal studies to explore the neuronal bases of these memory traces and the mechanism of dissociable perceptual and retrieval processes. At the network level, a key factor may be the differential modulation of temporal properties of neurons. We speculate that GABA-induced synchronization at specific frequency ranges may have distinct effects on perception and imagery (i.e., altered temporal properties of neuronal groups may result in perceptual dysfunctions but intact retrieval of memory traces) (Elliot et al., 2000; Elliott, Giersch, & Seifert, 2006).

The GABA agonist also had a shared effect on perception and imagery, that is, the enhancement of inhibition at small targetto-mask distances. This suggests that GABA plays a critical role in this inhibitory effect. It is intriguing that Ishai and Sagi (1995) failed to find interference suppression between target and masks in the imagery condition when they were overlapping. The authors interpreted it as a lack of the classic Perky effect (Craver-Lemley & Reeves, 1992; Waller et al., 2012), probably because the stimuli in their simple detection task had no meaning. The present results indicate that the Perky effect can be induced even in the case of simple stimuli during a detection task if the GABA-ergic neurotransmission is boosted.

Although benzodiazepines are considered as a homogeneous group of compounds stimulating GABA<sub>A</sub> receptors, the effect of individual drugs on perception may be substantially different. Beckers et al. (2001) showed that whereas lorazepam markedly impaired perceptual integration, the effect of diazepam did not dif-

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368 fer from that of the placebo. We used alprazolam, one of the most 369 commonly prescribed anxiolytic medications, which had a sub-370 stantial effect on perceptual integration. Alprazolam is similar to 371 lorazepam regarding its high affinity to GABA<sub>A</sub> receptors (Schatzberg, Cole, & Debattista, 2010). Nevertheless, it remains to 372 be demonstrated that alprazolam also has a detectable effect in 373 374 classic tasks of perceptual integration (Giersch, 1999). Lorazepam prolongs visual information processing (Giersch & Herzog, 2004), 375 and its long-term use leads to decreased contrast sensitivity 376 (Giersch et al., 2006). In the single alprazolam administration par-377 adigm, we did not detect decreased contrast sensitivity when an 378 379 isolated target was presented, which is against its non-specific dampening effect on visual perception. However, alprazolam may 380 have a detrimental influence on threshold decrease in the lateral 381 382 masking paradigm by slowing down the flankers' effects. Polat 383 and Sagi (2006) showed that excitation develops slowly, whereas 384 inhibition is rapid and follows stimulus onset and offset.

385 It is also interesting to note that, in healthy individuals, collin-386 ear interactions induce a high false alarm rate at the critical  $3\lambda$ target-to-mask distance during a Yes/No detection task (Polat & 387 388 Sagi, 2007). Zomet et al. (2008) showed that this high false alarm 389 rate is significantly less pronounced in hospitalized patients with major depressive disorder. Moreover, the authors also found that 390 those patients who received lower doses of benzodiazepines 391 392 displayed a more similar performance to that of the healthy control 393 subjects (Zomet et al., 2008). Lower false alarm rate in patients can 394 be explained by reduced excitation between neurons and weaker 395 lateral interactions, which is consistent with our results regarding 396 the effects of GABA-agonist benzodiazepines.

397 A critical limitation of the present study is that we demonstrated the GABA-related dissociation between perception and 398 imagery in individuals with GAD and AD and not in healthy volun-399 teers. Given that GABA-ergic neurotransmission displays altera-400 tions in mental disorders characterized by anxiety and 401 402 depression (Möhler, 2012), one may claim that the results are 403 due to these specific disease features and cannot be generalized 404 to healthy individuals. Although this possibility cannot entirely 405 be excluded, several aspects of these findings should be taken into 406 account. First, at baseline, there was no significant difference 407 among HCs and individuals with GAD and AD. Second, depressive and anxiety symptoms did not correlate with visual variables. 408 Third, and most importantly, the effect of alprazolam was repli-409 cated in GAD and AD, two disorders with substantially different 410 411 clinical profiles (American Psychiatric Association, 2013): while GAD is a chronic anxiety disorder, AD is a mild, stress-related 412 413 manifestation of anxiety and depression, which regularly exhibits 414 rapid remission spontaneously or after a short therapeutic 415 intervention. Most of the individuals with AD are healthy with 416 transient psychological difficulties. In this respect, our methodo-417 logical opportunities were limited because we were not allowed 418 to use lorazepam in healthy individuals, which is the most optimal paradigm to obtain comparable results in the literature. 419

In conclusion, the results of this study provide evidence that 420 perception and imagery are dissociable at the level of early vision. 421 422 The pharmacological enhancement of GABA-ergic neurotransmission disrupts lateral facilitation during perception, but not during 423 424 the retrieval of memory traces that have a contextual effect on perception. These results must be replicated in an independent group 425 426 of healthy individuals, and the exact neurobiological mechanisms 427 must be uncovered.

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